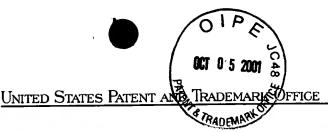


08791



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/904,646

LOS ANGELES, CA 90025

**BLAKELY SOKOLOFF TAYLOR & ZAFMAN** 

12400 WILSHIRE BOULEVARD, SEVENTH FLOOR

07/12/2001

Paul D. Crutcher

42390P10469

**CONFIRMATION NO. 3379** 

FORMALITIES LETTER

\*OC000000006470135\*

Date Mailed: 08/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/09/2001 BABRAHA1 00000107 09904646

01 FC:105

130.00 OP









PAUL D. CRUTCHER, ET AL.

Application No.: 09/904,646

Filed:

July 12, 2001

For:

LIGHT-WEIGHT PROTOCOL-INDEPENDENT PROXY

FOR ACCESSING DISTRIBUTED DATA

Commissioner for Patents Attention: Box Missing Parts Washington, D.C. 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed <u>August 24, 2001</u>, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
  - (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e);
  - (3) a copy of the Notice to File Missing Parts of Application;
  - (4) substitute drawings and specification in compliance with 37 CFR 1.84 (if required); and
  - (5) request for corrected filing receipt (if required).

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:

October 1, 2001

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025

Telephone: (503) 684-6200

Kerry D. Tweet, Reg. No. 45,959

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts,

Washington, D.C. 20231 on: *Qctober 1, 2001*.

10/01/01

Date



PTO/SB/17 (12/99)

Approved for use through 09/30/2000, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL out 0 5 2001 for FY 2000

Patent fees are subject to annual revision.

Complete if Known					
Application No.	09/904,646	_			
Filing Date	July 12, 2001	_			
First Named Inventor	Paul D. Crutcher	_			
Examiner Name		_			
Group/Art Unit		_			
Attorney Docket Number	42390P10469	_			

TOTAL MEDIUM OF PAYMENT (\$) 130	.00	Attorney		Numb	er 42390P10469			
METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)							
The Commission of the section with a fine date.	3. ADDITIONAL FEE							
1. A rine Commissioner is nereby authorized to credit any overpayments to:								
Deposit Account 02-2666	Lar Fe	ge Entity e Fee	Small Fee	Fee	Fee Description	Fee Paid		
Number	c <sub>o</sub>		Code	(\$)	r ac basarpior	100144		
Account Blakely, Sokoloff, Taylor & Zafman LLP	10 12		205 227		Surcharge - late filing fee or oath Surcharge - late provisional filing fee or	130.00		
Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16.1.17, 1.18 and 1.20.	١,,		400		cover sheet.			
	13 14	39 130 7 2,520	139 147 2		Non-English specification  For filing a request for reexamination			
Applicant claims small entity status. See 37 CFR 1.27.	11	•	112	920*F	Requesting publication of SIR prior to Examiner action			
2. Payment Enclosed:	11	3 1,840*	113 1	1,840*F	Requesting publication of SIR after  Examiner action			
Check Credit card Order Other	11	5 110	215		Extension for response within first month			
FEE CALCULATION	11	6 390	216	195 E	Extension for response within second month			
1. BASIC FILING FEE	11	7 890	217	445 E	Extension for response within third month			
Large Entity Small Entity	11	8 1,390	218	695 E	Extension for response within fourth month			
Fee Fee Fee Fee Description Fee Paid	12	8 1,890	228	945 E	Extension for response within fifth month			
Code (\$) Code (\$)	11	9 310	219	155 1	Notice of Appeal			
101 710 201 355 Utility filing fee 106 320 206 160 Design filing fee	12	20 310	220	155 F	Filing a brief in support of an appeal			
106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee	12	21 270	221	135 F	Request for oral hearing			
108 710 208 355 Reissue filing fee	13	8 1,510	138 1	1,510 F	Petition to institute a public use proceeding			
114 150 214 75 Provisional filing fee	14	0 110	240	55 F	Petition to revive - unavoidable			
	14	1 1,240	241	620 F	Petition to revive - unintentional			
SUBTOTAL (1) (\$)	14	2 1,240	242	620 l	Jtility issue fee (or reissue)			
2. EXTRA CLAIM FEES Extra Fee from	14	3 440	243	220 [	Design issue fee			
Claims below Fee Paid	14	4 600	244	300 F	Plant issue fee			
Total Claims Independent	12	2 130	122	130 F	Petitions to the Commissioner			
Claims -   =   X   =	12	3 130	123	130 F	Petitions related to provisional applications			
Multiple Dependent =	12	6 180	126	180 9	Submission of Information Disclosure Stmt			
"or number previously paid, if greater, For Reissues, see below  Large Entity Small Entity	58	31 40	581		Recording each patent assignment per property (times number of properties)			
Fee Fee Fee Fee Description	14	6 710	246	•	Filing a submission after final rejection			
Code (\$) Code (\$)				,	37 CFR 1.129(a))			
103 18 203 9 Claims in excess of 20	14	9 710	249		For each additional invention to be			
102 80 202 40 Independent claims in excess of 3	17	9 710	279		examined (37 CFR 1.129(b)) Request for Continued Examination (RCE)			
104 260 204 135 Multiple Dependent claim, if not paid	16		169		Request for expedited examination			
109 80 209 40 **Reissue independent claims over original patent	۱ '`	·~ 300	100		of a design application			
110 18 210 9 **Reissue claims in excess of 20	Oth	er fee (spe	ecify)					
and over original patent		er fee (spe						
SUBTOTAL (2) (\$)	*Rec	tuced by Bas	sic Filling	Fee Paid	SUBTOTAL (3) (\$)	130.00		

SUBMITTED BY					Complete (if applicable)	
Name (Print/Type)	Kerry D. Tweet	Registration No. (Attorney/Agent)	1 45 050		(503) 684-6200	
Signature	Kny Mrs			Date	10/01/01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.